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Attorney Docket No. HANOL-10659

JAP1 Rec'd 05/11/2005 22 DEC 2005

U.S. Application No.

10/562615

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

International Application No.
PCT/KR2004/001518International Filing Date:
23 June 2004 (23.06.04)Priority Date Claimed:
25 June 2003 (25.06.03)

Title Of Invention: Tricyclic Derivatives Or Pharmaceutically Acceptable Salts Thereof, Their Preparations And Pharmaceutical Compositions Containing Them

APPLICANT(S) FOR DO/EO/US Myung-Hwa KIM, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

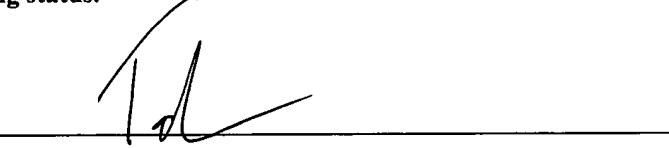
1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information: WO 2004/113281
21. Copies of the following documents will follow shortly: PCT Request; PCT/IB/301 (Not. of Receipt of Record Copy); PCT/IB/304 (Not. of Submission of Priority Document); PCT/IB/308; PCT/IB/311; PCT/ISA/201 (ISR); PCT/ISA/237 (Written Opinion); PCT/IPEA/401 (Demand); PCT/IPEA/402 (Not. of Receipt of Demand); PCT/IPEA/408 (Written Opinion); PCT/IPEA/409 (IPER); PCT/IPEA/416 (Not. of Transmittal of IPER); Amendment Under Article 34 filed 01/25/05; Amendment Under Article 34 filed 08/31/05.

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		<u>CALCULATIONS</u> <u>PTO USE ONLY</u>	
21. <input checked="" type="checkbox"/> Basic National Fee		\$ 300.00	
		\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee			
<input type="checkbox"/> If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$ 100.00	
<input checked="" type="checkbox"/> All Other Situations		\$ 200.00	
23. <input checked="" type="checkbox"/> Search Fee			
<input type="checkbox"/> Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$ 100.00	
<input checked="" type="checkbox"/> International Search Report prepared and provided to the Office		\$ 400.00	
<input type="checkbox"/> All Other Situations		\$ 500.00	
Total of 21, 22 and 23 =		\$900.00	
Additional Fee For Specification and Drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of Additional or Fraction Thereof (round up to a whole number)	RATE
172 - 100	72/50 =	2 x 250	\$500.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
TOTAL CLAIMS	6 - 20 =	0	x \$50.00 \$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$200.00 \$0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$360.00	yes	+ \$360.00 \$360.00
TOTAL OF ABOVE CALCULATIONS =			\$1,760.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2			
SUBTOTAL =			\$880.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$0.00

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TOTAL NATIONAL FEE =		\$880.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$0.00
TOTAL FEES ENCLOSED =		\$880.00
	Amount To Be Refunded:	\$
	Charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$880.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge any my Deposit Account No. 08-1290 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>d. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1290. A duplicate copy of this sheet is enclosed.</p>		
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>		
<p>Send All Correspondence To:</p> <p>Tanya A. ARENSEN (Reg. No. 47,391) MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, CA 94105 Phone: 415-904-6500 Fax: 415-904-6510</p> <p></p> <p>Tanya A. ARENSEN (Reg. No. 47,391)</p> <p>12/23/05</p>		
Date		